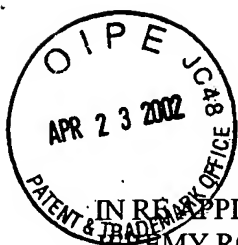


PATENT
Docket No.: 14688-505-401



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:
JEREMY ROSENBERG *et al.*

SERIAL NO.: 10/098,620

Group Art Unit: 2154

FILED: March 18, 2002

Examiner: *Not yet assigned*

FOR: PERSONALIZED AUDIO SYSTEM AND METHOD

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the present application are the following documents:

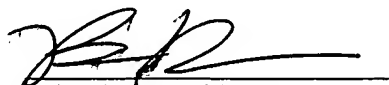
1. Response to Notice to File Missing Parts with copy of Notice to File Missing Parts of Nonprovisional Application, and copy of executed Combined Declaration and Power of Attorney for Patent Application attached;
2. Recordation Form Cover Sheet with executed Assignment attached;
3. Check in the amount of \$1,342.00; and
4. Return postcard.

Our check for \$1,342.00 is enclosed covering any required fees. In the event any variance exists between the amount enclosed and the Patent and Trademark Office charges, please charge or credit the difference to our Deposit Account 50-0311, Reference No. 14688-505401. A duplicate copy of this letter is enclosed for that purpose.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned at (703) 464-4810.

Date: April 23, 2002

Respectfully submitted,


Brian S. Rosenbloom
Registration No. 41,276
Mintz, Levin, Cohn, Ferris,
Glovsky and Popeo, P.C.
11911 Freedom Drive, Suite 400
Reston, Virginia 20190
(703) 464-4803
Attorneys for Applicant



#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/098,620	03/18/2002	Jeremy Rosenberg	14688-505401

CONFIRMATION NO. 3143

FORMALITIES LETTER



OC000000007827533

Brian S. Rosenbloom, Esquire
Mintz, Levin, Cohn, Ferris, Glovsky and Popeo PC
1001 Freedom Drive, Suite 400
Reston, VA 20190

Date Mailed: 04/09/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$432.
 - \$180 for 10 total claims over 20.
 - \$252 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1302.**

A copy of this notice MUST be returned with the reply.

Haimanot Tegbaru
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 KZENDIE 00000047 10098620
01 FC:101 740.00
02 FC:105 130.00
03 FC:102 252.00
04 FC:103 180.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



APPLICANTS : JEREMY ROSENBERG et al.

SERIAL NUMBER : 10/098,620

EXAMINER : *Not assigned*

FILING DATE : March 18, 2002

ART UNIT : 2154

FOR : PERSONALIZED AUDIO SYSTEM AND METHOD

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231


RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice To File Missing Parts mailed April 9, 2002, Applicants submit herein payment of the basic filing fee (\$740.00), additional claim fee (\$432.00) set forth in 37 CFR 1.27, and surcharge fee (\$130.00) set forth in 37 CFR 1.16(e). Also submitted herein is an executed Combined Declaration and Power of Attorney, together with a copy of the Notice to File Missing Parts. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 14688-505401

Date: April 23, 2002

Respectfully submitted,


Brian S. Rosenbloom
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